

P23803.P01 <div style="text-align: center;">UTILITY PATENT APPLICATION TRANSMITTAL</div> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. P23803	Total Pages <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	Inventor(s) or Application Identifier Chikara YAMAMOTO Title: SPECTACLE LENS
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17958 U.S. PTO
 10/658321

09/10/03

ADDRESS TO: Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450	
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APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s) 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>32</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>5</u>] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 12. <input type="checkbox"/> English Translation Document (if applicable) 13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 14. <input type="checkbox"/> Preliminary Amendment 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>5</u> 17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) 18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation</u> 19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> _____ _____ _____ _____ _____

20. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____.
 Prior application information: Examiner: _____ Group Art Unit: _____

21. ☐ Amend the specification by inserting before the first line the sentence:
 This application is a ___ continuation-in-part, ___ continuation, ___ divisional, of Application No. _____/_____, filed _____.

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
 1950 Roland Clarke Place
 Reston, VA 20191
 (703) 716-1191

9/10/03
 Date


 Signature
Bruce H. Bernstein, Reg. No. 29,027
 Typed or Printed Name

33,329

<div style="display: flex; align-items: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: small; margin-right: 10px;"> P23803.P02 15915 U.S. PTO 09/10/03 </div> <div style="font-size: 2em; font-weight: bold;">FEE TRANSMITTAL</div> </div>		Complete if Known																																																																																																																																																																																																																																																																																																																										
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1. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27. 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <div style="text-align: center; font-weight: bold;">FEE CALCULATION (fees effective 01/01/03)</div> <div style="display: flex;"> <div style="flex: 1;"> <div style="font-size: small; margin-bottom: 5px;">1. FILING FEE</div> <table style="width:100%; font-size: x-small;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>1001</td> <td>750</td> <td>2001</td> <td>375</td> <td>Utility filing fee</td> <td><u>\$750.00</u></td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing fee</td> <td>_____</td> </tr> <tr> <td>1003</td> <td>520</td> <td>2003</td> <td>260</td> <td>Plant filing fee</td> <td>_____</td> </tr> <tr> <td>1004</td> <td>750</td> <td>2004</td> <td>375</td> <td>Reissue filing fee</td> <td>_____</td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td>_____</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$)<u>750.00</u></td> </tr> </table> <div style="font-size: small; margin-top: 5px;">2. CLAIMS</div> <table style="width:100%; font-size: x-small;"> <tr> <td>Total Claims</td> <td><u>15</u></td> <td>-20=</td> <td><u>0</u></td> <td>Extra</td> <td><u>0</u></td> <td>x</td> <td><u>18</u></td> <td>=</td> <td><u>0</u></td> <td>Fee Paid</td> </tr> <tr> <td>Independent Claims</td> <td><u>1</u></td> <td>-3=</td> <td><u>0</u></td> <td></td> <td><u>0</u></td> <td>x</td> <td><u>84</u></td> <td>=</td> <td><u>0</u></td> <td></td> </tr> <tr> <td>Multiple Dependent Claims</td> <td><u>0</u></td> <td></td> <td></td> <td>x</td> <td><u>280</u></td> <td></td> <td></td> <td>=</td> <td><u>0</u></td> <td></td> </tr> </table> <table style="width:100%; font-size: x-small; margin-top: 5px;"> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>84</td> <td>2201</td> <td>42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>280</td> <td>2203</td> <td>140</td> <td>Multiple dependent claim</td> <td></td> </tr> <tr> <td>1204</td> <td>84</td> <td>2204</td> <td>42</td> <td>Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$)<u>0.00</u></td> </tr> </table> </div> <div style="flex: 1;"> <div style="font-size: small; margin-bottom: 5px;">3. 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margin-top: 5px;">*Reduced by Basic Filing Fee paid</div> </div> </div>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	1001	750	2001	375	Utility filing fee	<u>\$750.00</u>	1002	330	2002	165	Design filing fee	_____	1003	520	2003	260	Plant filing fee	_____	1004	750	2004	375	Reissue filing fee	_____	1005	160	2005	80	Provisional filing fee	_____	SUBTOTAL (1)					(\$)<u>750.00</u>	Total Claims	<u>15</u>	-20=	<u>0</u>	Extra	<u>0</u>	x	<u>18</u>	=	<u>0</u>	Fee Paid	Independent Claims	<u>1</u>	-3=	<u>0</u>		<u>0</u>	x	<u>84</u>	=	<u>0</u>		Multiple Dependent Claims	<u>0</u>			x	<u>280</u>			=	<u>0</u>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim		1204	84	2204	42	Reissue independent claims over original patent		1205	18	2205	9	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$)<u>0.00</u>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath	_____	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	_____	1053	130	1053	130	Non-English specification	_____	1812	2,520	1812	2,520	For filing a request for reexamination	_____	1804	920*	1804	920*	Requesting publication of SIR	_____					Prior to Examiner action	_____	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	_____	1251	110	2251	55	Extension for response within 1st month	_____	1252	410	2252	205	Extension for response within 2nd month	_____	1253	930	2253	465	Extension for response within 3rd month	_____	1254	1,450	2254	725	Extension for response within 4th month	_____	1255	1,970	2255	985	Extension for response within 5th month	_____	1401	320	2401	160	Notice of Appeal	_____	1402	320	2402	160	Filing a brief in support of an appeal	_____	1403	280	2403	140	Request for oral hearing	_____	1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____	1452	110	2452	55	Petition to revive unavoidably abandoned application	_____	1453	1,300	2453	650	Petition to revive unintentionally abandoned application	_____	1501	1,300	2501	650	Utility issue fee (or reissue)	_____	1502	470	2502	235	Design issue fee	_____	1503	630	2503	315	Plant issue fee	_____	1460	130	1460	130	Petitions to the Commissioner	_____	1807	50	1807	50	Petitions related to provisional applications	_____	1806	180	1806	180	Submission of IDS	_____	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	<u>\$40.00</u>	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	_____	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	_____	1801	750	2801	375	Request for Continued Examination (RCE)	_____	1802	900	1802	900	Request for expedited examination of a design application	_____	Other fee (specify) _____					_____	Other fee (specify) _____					_____	SUBTOTAL (3)					(\$)<u>40.00</u>
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1252	410	2252	205	Extension for response within 2nd month	_____																																																																																																																																																																																																																																																																																																																							
1253	930	2253	465	Extension for response within 3rd month	_____																																																																																																																																																																																																																																																																																																																							
1254	1,450	2254	725	Extension for response within 4th month	_____																																																																																																																																																																																																																																																																																																																							
1255	1,970	2255	985	Extension for response within 5th month	_____																																																																																																																																																																																																																																																																																																																							
1401	320	2401	160	Notice of Appeal	_____																																																																																																																																																																																																																																																																																																																							
1402	320	2402	160	Filing a brief in support of an appeal	_____																																																																																																																																																																																																																																																																																																																							
1403	280	2403	140	Request for oral hearing	_____																																																																																																																																																																																																																																																																																																																							
1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____																																																																																																																																																																																																																																																																																																																							
1452	110	2452	55	Petition to revive unavoidably abandoned application	_____																																																																																																																																																																																																																																																																																																																							
1453	1,300	2453	650	Petition to revive unintentionally abandoned application	_____																																																																																																																																																																																																																																																																																																																							
1501	1,300	2501	650	Utility issue fee (or reissue)	_____																																																																																																																																																																																																																																																																																																																							
1502	470	2502	235	Design issue fee	_____																																																																																																																																																																																																																																																																																																																							
1503	630	2503	315	Plant issue fee	_____																																																																																																																																																																																																																																																																																																																							
1460	130	1460	130	Petitions to the Commissioner	_____																																																																																																																																																																																																																																																																																																																							
1807	50	1807	50	Petitions related to provisional applications	_____																																																																																																																																																																																																																																																																																																																							
1806	180	1806	180	Submission of IDS	_____																																																																																																																																																																																																																																																																																																																							
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	<u>\$40.00</u>																																																																																																																																																																																																																																																																																																																							
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																																																																																																																																																																							
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																																																																																																																																																																							
1801	750	2801	375	Request for Continued Examination (RCE)	_____																																																																																																																																																																																																																																																																																																																							
1802	900	1802	900	Request for expedited examination of a design application	_____																																																																																																																																																																																																																																																																																																																							
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																																							
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																																							
SUBTOTAL (3)					(\$)<u>40.00</u>																																																																																																																																																																																																																																																																																																																							

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	
Signature		<i>Bernstein</i>		29,027	
		Date		9/10/03	
		Deposit Account User ID		19-0089	

P23803.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chikara YAMAMOTO

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : SPECTACLE LENS

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration and pages 11, 12, 30, and 31 of the specification which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on September 10, 2003, and pages 1 - 10, 13 - 29, and 32 of the specification (with Figures 1 - 10) which were forwarded to the undersigned by Applicant's Japanese representative on September 8, 2003. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the revised application, including pages 11, 12, 30, and 31, before executing the declaration.

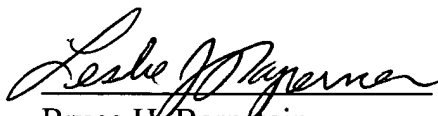
Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

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Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Chikara YAMAMOTO

 *Reg No.*
Bruce H. Bernstein *33,329*
Reg. No. 29,027

September 10, 2003
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